

ICEDIS/EDItEUR Meeting at Charleston Conference

Francis Marion Hotel, Charleston, SC
Wednesday 4th November 2015

Minutes

1. Welcome and introductions

- a. Tim welcomed participants, who each introduced themselves. See the list of attendees and apologies for absence at the end of this document.
- b. A particular welcome was extended to Laurie, who has recently taken over the role of ICEDIS co-chair from Dan, who was standing down after the Charleston meeting.

2. About EDItEUR and the role of ICEDIS

For those new to this forum, Tim explained briefly EDItEUR's role as an international, membership-led standards agency. Within that framework, ICEDIS acts as a special-interest group for EDItEUR members interested in serials and the library supply chain.

More detail may be found in slides 3-5 of Tim's presentation, which is circulated along with these minutes.

3. Focus on Open Access

Open Access business models continue to gain traction within the serials/subscriptions arena. Over the past 18 months, ICEDIS has been encouraged by its members to investigate areas in which standards approaches could improve the functioning of the supply chain, ultimately by improving the discoverability of OA journals.

ICEDIS created an Open Access working group to explore this area. The group has met regularly by conference call, pursuing two parallel strands. The main work stream has discussed and captured requirements to extend the ONIX-PC product catalog standard so that it can properly support OA as well as conventional, paid-for products. Alongside this, we have investigated outreach to other OA activities, including the DOAJ (Directory of Open Access Journals) and an OA working group convened in the UK by Jisc.

More detail is available in slides 6-10 of Tim's presentation, including a listing of the business cases and features that the working group identified as being important to communicate (including whether or not a journal is hybrid or fully OA, how are APCs levied and what prices are involved, which embargoes may be involved, etc.).

Meeting participants showed particular interest in features describing hybrid OA and percentages of OA articles published in hybrid journals in defined previous periods. It's probably true that there has so far been relatively little library-side input to the formulation of this work, although ProQuest has participated very fully in the working group.

4. ONIX-PC (ONIX for Product Catalog) updates

ONIX-PC has already been in live use for several years, transmitting descriptive metadata and pricing information between major publishers and subscription agents. The focus of ICEDIS efforts over the past year has been twofold. First we have endeavored to raise awareness of the format and thus encourage uptake of the rich product metadata that it contains. Second, we have been developing an extended version 1.2 of the standard to accommodate the various OA features just described.

A draft of the version 1.2 standard has recently been published via the EDItEUR website to support pilot exchanges. All of the supporting materials – including descriptive documentation, an XML schema and an extensive set of sample files – may be freely downloaded. At overview level, slides 11-14 of Tim’s presentation describe the approach we have taken to extending the standard (which of course continues to offer full support for paid-for products. Ideally, we plan to complete pilot exchanges and any necessary modifications in time for version 1.2 to be used when publishers describe their 2017 programs.

5. Guest presentation: Justin Spence (Publisher Solutions International)

PSI’s Justin Spence kindly gave a guest presentation under the rubric “*Who’s Really Reading Your Valuable Content – And Did They Pay For It?*” This set out to explore the challenges faced by PSI and its publisher clients in countering misuse and abuse of IP authenticated online resources. These range from access being accidentally opened up by mis-keying IP addresses, through the activities of miscreants who are fraudulently obtaining or reselling access, to distortion of usage statistics because IP addresses are not correctly correlated with the correct institutions.

The presentation was well received and shed a light on an aspect of scholarly publishing not often explored. For more detail, please email justin@publishersolutionsint.com.

6. Encouraging more library/LMS vendor involvement

Laurie led a short brainstorm session to identify factors or mechanisms that might lead to more direct involvement from our library end-users and the vendors most closely in touch with them. Key suggestions or remarks made included these:

- LK: More and more librarians are interested in the data & metadata they receive; maybe we need to research how well our offerings match with what they need.
- KA: It’s a chicken and egg problem, but if LMS vendors would themselves adopt various standards, then librarians would become more interested in utilizing the information.
- RG; Asked whether Serials Solutions work with ONIX-PC or similar standards? LK: ProQuest would like to pilot some of the messages.
- KA: ONIX for Books information should be of great interest to librarians and she would urge LMS vendors to adopt. MARC records serve discovery services but much

ebook and package information resides in ERMs, which could be populated from knowledge bases like GOKB or LMSs.

- RG: Does ICEDIS have the resources for these outreach activities? TD: certainly enough to begin with and we could get a multiplier effect if EDItEUR members were actively out there 'spreading the word'.
- RG: Need to identify areas of competitive advantage to encourage commitment. LK: there's currently lots of interest in OA topics and we might be able to communicate more widely using webinars for example. KA: a license-free and perhaps one-stop way to get this data would be very useful (compare the way that APC information is quite scattered at present).
- FW: there can be inertia brought about by long-established standards and practices (even if these don't meet present-day challenges), in the library realm as elsewhere. AC: agree that some of these older methods are stable and work well, but there are such opportunities to leverage more recent technologies (XML files rather than the old FTPs, APIs rather than file transfer, etc.) to minimize/eliminate delay and to take out operational costs.
- LK and AW: we should make some noise about the upcoming ONIX-PC pilots, in *Against the Grain* and elsewhere.

7. Related standards initiatives in the serials/subscriptions arena (Todd Carpenter, NISO)

Todd updated meeting participants on a number of initiatives, sponsored by NISO, ISO and others. Here are very brief notes and pointers to more information:

- a. ALI – Access and License Indicators. Deals with two article-level features: whether or not the content is free to read and under what terms. Has been published as a NISO Recommended Practice and is currently in adoption phase via metadata channeled to Crossref and by inclusion in JATS (Journal Article Tag Suite) metadata. <http://www.niso.org/workrooms/ali/> and <http://www.niso.org/workrooms/journalmarkup>
- b. PESC – Protocol for Exchanging Serial Content was published in June. It is a packaging standard for selling and/or transferring an entire corpus of content. <http://www.niso.org/workrooms/pesc/>
- c. Transfer – a code of practice to guide publishers through transfers of journals so as to avoid interrupted access for subscribers. Version 3 of the Code is now a NISO Recommended Practice and its management/maintenance has been transferred from UKSG to NISO, though UKSG continues to maintain the Transfer registry and alerting service. <http://www.niso.org/workrooms/transfer/>
- d. SUSHI – protocol to assist in the automated harvesting of usage data. Published a recommended practice on server test procedures. Also working on Sushi Lite – a web service for exchanging Counter-compliant information. <http://www.niso.org/workrooms/sushi>
- e. Protection of patron information, particularly related to privacy. NISO will be releasing a set of principles – on publisher data in library and publishing systems, which is applicable to publishers, libraries, vendors, and software providers. Principles are based initially on US privacy law but it's hoped the initiative will have wider relevance and support.

- f. KBART and KBART II – Knowledge base protocol. NISO continues to maintain and support this work as well as the Open Discovery Initiative.
<http://www.niso.org/workrooms/kbart> and <http://www.niso.org/workrooms/odi/>
- g. ISO Identifiers. NISO and thus Todd chair the ISO Identifiers group. Currently this involves work on four topics of particular interest to this group:
- h. ISBN – the International Standard Book Number. Some important work going on – particularly around rules for assignment of ISBNs to ebooks. A draft should be ready by the end of this year, followed by 2 months for comments (act promptly!).
- i. ISSN – the International Standard Serial Number. Is up for systematic review and will probably be recommended for revision next year.
- j. ISO-8 is a standard for on the presentation of publications, introduced many years ago and focused entirely on print. Current work is evaluating whether/how best this can be updated to cater for online resources; a probable direction is to achieve convergence with the recommendations of the PIE-J project (Presentation and Identification of Electronic Journals). <http://www.niso.org/workrooms/piej>
- k. ISTC – the International Standard Text Code. Introduced as a work-level identifier but uptake and general interest has been low; currently asking the communities involved what to do with this standard.

8. Other EDItEUR initiatives

Tim presented an overview of EDItEUR's current activities beyond the serials area, prepared by EDItEUR's Executive Director Graham Bell. In addition to introductory remarks about EDItEUR and its mission, this covered recent developments on:

- Thema – the international subject classification scheme for books
<http://www.editeur.org/151/Thema/>
- ONIX for Books version 3.0 <http://www.editeur.org/12/About-Release-3.0/>
- Schema.org metadata for web search and ONIX-to-schema.org mapping
- An Acknowledgement message for ONIX for Books exchanges
- Identifier management – another facet of EDItEUR's work.

A copy of Graham's presentation is available on request from graham@editeur.org.

9. Other business; date and venue of next meeting

David Balsley (Chicago University Press) asked whether there is room to add elements into the ICEDIS standard messages to specifying rate codes and tiered pricing? Tim responded that this was next to impossible in the legacy ICEDIS formats but that complex pricing arrangements, including tiered arrangements, can be communicated using ONIX-PC.

The next full meeting of ICEDIS will be at UKSG, 13th April 2015, Bournemouth, UK, 13:30 - 16:30, venue details to be finalized. Before that time there will also be several phone conferences of the Open Access Working Group and of organizations implementing ONIX-PC: more details from tim@editeur.org.

List of participants:

Kristin Antelman	CalTech/GOKB
David Balsley	University of Chicago Press
Anne Campbell	EBSCO
Todd Carpenter	NISO
Tim Devenport	EDItEUR
Richard Gedye	STM
Dan Heffernan	Advantage
Laurie Kaplan	ProQuest
Amanda Kolman	Duke University Press
Nettie Lagace	NISO
Noah Levin	Springer
James Mouw	University of Chicago/Kuali OLE
Justin Spence	PSI
Anthony Watkinson	CIBER Research
Friedemann Weigel	Harrassowitz
Sebastian Weigel	Harrassowitz

Apologies received from:

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